



PATENT  
Atty. Docket No. 1593.004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John M. Polo et al.

Serial No.: 09/551,977 Group Art Unit: 1648

Filed : April 14, 2000 Examiner: B. Li

For : COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE UTILIZING ALPHAVIRUS-BASED VECTOR SYSTEMS

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IDS  
Wallack  
8/24/01

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56 AND §1.97(b)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed on the enclosed Information Disclosure Form PTO-1449 may be material to the examination of the above-identified patent application. Therefore, Applicants submit these documents in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56 and §1.97(b)(3). The Examiner is requested to make these citations of official record in the present application.

Applicants enclose herewith a copy of the PCT International Search Report for International Application No. PCT/US00/10722, mailed October 25, 2000.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

This Information Disclosure Statement under 37 C.F.R. §1.56 and §1.97(b)(3) is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these citations constitute prior art under 35 U.S.C. §102 or §103.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 3 day of April, 2001. By John M. Polo

The Commissioner is hereby authorized to charge any fees in connection with this Information Disclosure Statement to Deposit Account No. 03-1664. This, however, is not an authorization for payment of Issue Fee.

Respectfully submitted,

April 3, 2001

By:

  
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Application of: John M. Polo et al.

Serial No.: 09/551,977

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Filed : April 14, 2000

Examiner: B. Li

For : COMPOSITIONS AND METHODS FOR GENERATING AN IMMUNE RESPONSE UTILIZING ALPHAVIRUS-BASED VECTOR SYSTEMS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56 AND §1.97(b)(3)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed on the enclosed Supplemental Information Disclosure Form PTO-1449 may be material to the examination of the above-identified patent application. Therefore, Applicants submit these documents in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56 and §1.97(b)(3). The Examiner is requested to make these citations of official record in the present application.

The present Supplemental Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

This Supplemental Information Disclosure Statement under 37 C.F.R. §1.56 and §1.97(b)(3) is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these citations constitute prior art under 35 U.S.C. §102 or §103.

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Atty. Docket No. 1593.004

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April 4, 2001

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